TISE
a miax company

RECOGNITIONS & MEMBERSHIPS

Brochure

Dublin. Guernsey. Isle of Man. Jersey. London.

tisegroup.com

TISE
a miax company

This document provides an overview of the recognitions and memberships held by The International Stock Exchange (TISE).

The recognitions and memberships demonstrate our credibility as a mainstream international stock exchange and in doing so, provide market participants with additional comfort in utilising TISE for listing and trading services.

The recognitions and memberships may also provide other benefits, such as widening the potential investor base for TISE listed securities.

These recognitions and memberships place TISE amongst other leading capital markets participants and as such, at the centre of European and global policy discussions in relation to a range of capital markets initiatives. We are delighted to be able to play an active role in contributing not only to the flows of capital globally but the development of the financial market ecosystem internationally.

This document is intended to act as an overview of the recognitions and memberships and their principal benefits. These are not intended to be exhaustive but to act as a catalyst for further enquiry. We also welcome feedback on other recognitions or memberships which market participants believe to be beneficial for themselves or their clients in conducting more business on TISE.

For further information, please contact:

Robbie Andrade
Chief Operating Officer
robbie.andrade@tisegroup.com
+44 (0) 1481 753030

TISE
a miax company

Recognitions

His Majesty's Revenue & Customs (HMRC)

Recognised Stock Exchange

Relevant legislation

Section 1005 of the Income Tax Act 2007 of the United Kingdom

Principle benefits

Securities listed on TISE can avail of the UK Quoted Eurobond Exemption (QEE)

Securities listed on TISE can qualify for the UK Real Estate Investment Trust (REIT) regime

Securities listed on TISE are eligible for investment by Self-Invested Personal Pensions (SIPPs) and Individual Savings Accounts (ISAs)

Irish Revenue Commissioners

Recognised Stock Exchange

Recognised Stock Exchange

Section 64 of the Taxes and Consolidation Act, 1997 of Ireland

Principle benefits

Securities listed on TISE can avail of the Irish Quoted Eurobond Exemption (QEE)

US Securities and Exchange Commission (SEC)

Designated Offshore Securities Market (DOSM)

Relevant legislation

Rule 902(b) of Regulation S under the Securities Act of 1933, as amended

Principle benefits

Securities listed on TISE can be issued outside of the US without the need for them to be registered with the SEC and with an exemption for the seller having to undertake the onerous requirements to be able to form a reasonable belief that the buyer is outside of the US

TISE
a miax company

Recognitions

German Federal Financial Supervisory Authority (BaFin)

Approved Stock Exchange

Relevant legislation
Section 193 of the Capital Investment Act, KAGB (Kapitalanlagegesetzbuch)

Principle benefits
Securities listed on TISE are automatically eligible assets as part of the 'listed' investment allocation of German insurance companies and German UCITS funds. This increases the potential investment which TISE listed products can receive from German domiciled UCITS funds

Australian Securities Exchange (ASX)

Recognised Stock Exchange

Relevant legislation
ASX Operating Rules Procedures

Principle benefits
In accordance with the ASX Operating Rules Procedures, ASX has approved TISE as a Recognised Stock Exchange

(i) Registrar of Companies
(ii) Registrar of Beneficial Ownership of Legal Persons

Recognised Stock Exchange

Relevant legislation
Companies (Recognised Stock Exchanges) Regulations, 2019

Principle benefits
Exempts Guernsey companies listed on TISE from certain requirements under Part XXIX of the Companies (Guernsey) Law, 2008 and Beneficial Ownership (Definition) Regulations, 2017 relating to the appointment of a Resident Agent and disclosure of beneficial ownership

Guernsey Financial Services Commission

Named Securities Market

Relevant legislation
Authorised Closed-Ended Investment Schemes Rules, 2021; Authorised Collective Investment Schemes (Class B) Rules, 2021; and Private Investment Fund Rules, 2021

Principle benefits
Securities listed on TISE qualify as an 'approved security' for the purposes of the rules, enabling the transaction to satisfy the criteria for best execution on-exchange

Recognitions

Isle of Man Treasury

Recognised Stock Exchange

Relevant legislation
Section 5(2)(b) of the Beneficial Ownership Act 2017

Principle benefits
Exempts Isle of Man registered companies listed on TISE from certain requirements under the Beneficial Ownership Act 2017

Jersey Financial Services Commission

Designated Investment Exchange

Relevant legislation
Listed Fund Guide

Principle benefits
Recognised exchange for closed ended Listed Funds which may be established using a streamlined authorisation process – enables TISE listed issuers to qualify for regulatory purposes

Jersey Financial Services Commission

Exchange listed in Schedule 4

Relevant legislation
(5(2)(a)(i) of Schedule 1 and Paragraph 4(1) of Schedule 2 of the Collective Investment Funds (Unregulated Funds) (Jersey) Order 2008

Principle benefits
Recognised exchange for the listing and trading of units of an Unregulated Collective Investment Fund (relates to schemes that do not constitute a fund) – enables TISE listed issuers to qualify for regulatory purposes

Memberships

Association for Financial Markets in Europe (AFME)

Associate Member

Area of focus

Represents the leading global and European banks and other significant capital market players, with the aim of acting as a bridge between market participants and policy makers across Europe

International Capital Market Association (ICMA)

Full Member

Area of focus

Not-for-profit membership association, with a wide range of member firms active in the international debt capital markets

International Organization of Securities Commissions (IOSCO)

Affiliate Member

Area of focus

International body which brings together the world's securities regulators and is recognised as the global standard setter for the securities sector

United Nations' Sustainable Stock Exchanges Initiative (UN SSE)

Partner Exchange

Area of focus

Peer-to-peer learning platform for exploring how exchanges, in collaboration with investors, regulators, and companies, can enhance corporate transparency and ultimately performance on ESG

TISE
a miax company

RECOGNITIONS & MEMBERSHIPS

Brochure

Version: June 2025

T: +44 (0) 1481 753000 - E: info@tisegroup.com - W: tisegroup.com

PO Box 623, Helvetia Court, Block B, 3rd Floor, Les Echelons,
St Peter Port, Guernsey, GY1 4PJ

The International Stock Exchange Authority Limited is licensed by the Guernsey Financial Services Commission to operate an investment exchange under the Protection of Investors [Bailiwick of Guernsey] Law, 2020. Legal and regulatory information: tisegroup.com/legal/regulatory

Dublin. Guernsey. Isle of Man. Jersey. London.